

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In re Application of:

MacDonald, et al.

Attorney Docket No:

KCX-665 (19232)

Serial No:

10/686,933

Date:

March 17, 2004

Filed:

October 16, 2003

Art Unit:

1744

Confirmation No:

4589

Our Customer ID:

22827

Title:

Method For Reducing Odor Using

Colloidal Nanoparticles

Our Account No:

04-1403

Commissioner for Patents U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450

Sir:

The following is a Supplemental Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

- 1.[x] Attached hereto is:
 - a.[x] A list of materials for consideration per Rule 98(a)(1): 5 page(s)
 - b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):

 129 item(s)
 - c.[] For each <u>non-English language</u> item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____
 - [] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.
- 2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:
 - a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
 - b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance <u>OR</u> an action that otherwise closes prosecution, <u>WHEREFORE</u> PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i.[] Certification per Rule 97(e); OR
 - c.[] AFTER a Final Action <u>OR</u> Notice of Allowance, but BEFORE payment of the issue fee, <u>WHEREFORE</u> per Rule 97(d) submitted herewith is:
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- .[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:
 - a.[] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
 - b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

DM-10/0

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4.[x]	herev now overp	orized hereafter, or any fees in addition to the fe with or concerning any paper filed hereafter, an or hereafter relative to this application and the	Commissioner is hereby authorized to charge any fee specifically ee(s) filed, or asserted to be filed, or which should have been filed d which may be required under Rules 16-18 (deficiency only) resulting official document under Rule 20, or credit any ling hereof for which purpose a duplicate copy of this sheet is of the issue fee in this case.
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<u>*e(2)</u> \$192)	Attorney Docket Number:	Serial Number:
Information Disclosure Statement List	KCX-665 (19232)	10/686,933
By Applicant(s)	Applicant	
Under 37 CFR Section 1.98(a) (1)	MacDonald, e	et al.
(Use several sheets if necessary)	Filing Date:	Group Art Unit:
	October 16, 2003	1744
	Confirmation No:	
	4589	

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

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- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

EXAMINER	PATENTEE NAME	PA	TENT	NH	MRE	R			ISSUE	COPY
INITIALS		1	1 2.11	110	DATE	NOTE				
1	Davis	R	E	3	0	7	9	7	11/17/1981	5
	Davis	R	E	3	0	8	0	3	11/24/1981	5
	Müller, et al.	12	10	Ti	5	8	6	4	10/01/1935	5
 	Howard	2	5	9	3	1	4	6	04/15/1952	5
	Crowley	3	2	6	6	9	7	3	08/16/1966	5
	Satas	3	3	18	1	6	8	8	05/07/1968	5
	Brown, et al.	3	9	† <u> </u>	9	4	3	7	11/11/1975	5
	Brock, et al.	1	0	4	1	2	0	3	08/09/1977	5
	Walk, et al.	4	1	7	2	7	8	1	10/30/1979	5
	Farha, Jr., et al.	4	3	1	3	8	2	0	02/02/1982	5
	Appel, et al.	4	3	7	5	4	4	8	03/01/1983	5
	Tratnyek	4	4	0	7	9	6	0	10/04/1983	5
	Weisman, et al.	4	4	6	9	7	4	6	09/04/1984	5
	Hou	4	4	8	8	9	6	9	12/18/1984	5
	Kroyer, et al.	4	4	9	1	2	7	8	01/22/1985	5
	Raeburn	1	4	9	4	6	2	9	01/22/1985	5
	Ehlenz, et al.	- 4	5	1	7	3	0	8	05/14/1985	5
	Mays	4	5	2	2	2	0	3	06/11/1985	5
	Hagiwara, et al.	4	5	2	5	4	1	0	06/25/1985	5
	Laursen, et al.	4	6	4	0	8	1	0	02/03/1987	5
	Johnson	4	6	4	3	8	0	1	02/17/1987	5
	Kidd	4	7	2	5	4	1	5	02/16/1988	5
	Hill	4	7	3	4	3	2	4	03/29/1988	5
	Hagiwara	4	7	7	5	5	8	5	10/04/1988	5
	Lau	4	8	1	8	4	6	4	04/04/1989	5
	Morell, et al.	4	8	2	3	4	0	4	04/25/1989	5
	Aoyama, et al.	4	9	7	8	6	1	5	12/18/1990	5
	Kurihara, et al.	5	1	0	8	7	3	9	04/28/1992	5
	Nakane, et al.	5	1	2	2	4	1	8	06/16/1992	5
	Potts, et al.	5	l i	4	5	7	2	7	09/08/1992	5
	Collier, IV, et al.	5	ī	6	9	7	0	6	12/08/1992	5
	Perkins, et al.	5	1	7	8	9	3	1	01/12/1993	5
	Uesaka, et al.	5	1	8	3	6	5	6	02/02/1993	5
	Timmons, et al.	5	1	8	8	8	8	5	02/23/1993	5
	Kaminsky, et al.	5	2	0	4	4	2	9	04/20/1993	5

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By Applicant(s)

Under 37 CFR Section 1.98(a) (1)

(Use several sheets if necessary)

Filing Date:

October 16, 2003

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4589

Ogale	5	3	0	0	3	6	5	04/05/1994	5
 Karapasha	5	4	0	7	4	4	2	04/18/1995	5
 Murai, et al.	5	4	2	7	8	4	4	06/27/1995	5
 Erderly, et al.	5	4	5	1	4	5	0	09/19/1995	5
 Obijeski, et al.	5	4	7	2	7	7	5	12/05/1995	5
 Maruo, et al.	5	4	8	0	6	3	6	01/02/1996	5
 Yim	. 5	4	8	6	3	5	6	01/23/1996	5
 Soerensen	5	5	2	7	1	7	1	06/18/1996	5
 Etherton, et al.	5	5	3	9	1	2	4	07/23/1996	5
 Parks	5	5	4	0	9	1	6	07/30/1996	5
 Krishnamurti, et al.	5	5	5	4	7	7	5	09/10/1996	5
 Emmons, et al.	5	6	6	3	2	2	4	09/02/1997	5
 McCormack	5	6	9	5	8	6	8	12/09/1997	5
 Brunner, et al.	5	7	3	3	2	7	2	03/31/1998	5
 Cook, et al.	5	8	1	7	3	Ó	0	10/06/1998	5
 English, et al.	5	8	3	1 7	3	5	2	11/17/1998	5
	5	8	6	1	1	4	4	01/19/1999	5
 Peterson, et al.	5	8	7	4	0	6	7	02/23/1999	5
 Lucas, et al.							8	03/16/1999	5
 Dodd, et al.	5	8	8	2	6	3	9	03/13/1999	5
 Peterson, et al.	5	8	8	5	5	9			5
 Hanamoto, et al.	5	9	4	8	3	1	8	09/07/1999	
 Kim, et al.	5	9	4	8	4	8	3	09/07/1999	5
 Oshima	6	0	0	4	6	2	5	12/21/1999	5
 Haffner, et al.	6	0	4	5	9	0	0	04/04/2000	5
Welchel, et al.	6	0	4	7	4	1	3	04/11/2000	5
 Gillberg-LaForce, et al.	6	0	6	0	4	1	0	05/09/2000	5
Pressley, et al.	6	0	7	3	17	7	1	06/13/2000	5
McCormack, et al.	6	0	7	5	1	7	9	06/13/2000	5
 McCormack, et al.	6	1	1_	1	1	6	3	08/29/2000	5
 McLaughlin, et al.	6	1	9	3	8	4	4	02/27/2001	5
 McCormack, et al.	6	2	3	8	7	6	7	05/29/2001	5_
Denkewicz, Jr., et al.	6	2	5_	4	8	9	4	07/03/2001	5
 Gancet, et al.	6	2	7	7	7	7	2	08/21/2001	5
 Cohen, et al.	6	2	9	4	2	2	2	09/25/2001	5
 Aoyagi, et al.	6	2	9	9	8	6	7	10/09/2001	5
 McCormack, et al.	6	3	0	9	7	3	6	10/30/2001	5
 Dodd, et al.	6	3	4	4	2	1	8	02/05/2002	5
 Glaug, et al.	6	3	6	9	2	9	0	04/09/2002	5
 Coakley	6	4	2	5	5	3	10	07/30/2002	5
 Bosch, et al.	6	4	2	8	8	1	4	08/06/2002	5
 Yano, et al.	6	4	6	10	19	8	9	10/08/2002	5
 Wu, et al.	6	4	6	17	18	9	17	10/22/2002	5
 Sakaki, et al.	6	4	17	15	6	10	 i 	11/05/2002	5
 <u> </u>	6	4	9	1	17	19	10	12/10/2002	5
 Proverb, et al.	6	5	1	17	+	9	19	02/11/2003	- 5
 Tomioka, et al.		5	1 3	6	8	9	10	03/25/2003	5
 Kato, et al.	6		5		4	5	17	04/22/2003	5
 Westman, et al.	6	5		1					5
 Dobler, et al.	6	5	17	5	3	8	3	06/10/2003	
 Brehm, et al.	6	6	2	3	8	4	8	09/23/2003	5
 Falat, et al.	6	6	3	9	0	0	4	10/28/2003	5_
Cramer, et al.	16	6	4	5	5	6	9	11/11/2003	5_

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isper 17 (Rev. 5/92) Serial Number: Attorney Docket Number: 10/686,933 KCX-665 (19232) Information Disclosure Statement List Applicant: By Applicant(s) Under 37 CFR Section 1.98(a) (1) MacDonald, et al. Group Art Unit: (Use several sheets if necessary) Filing Date: 1744 October 16, 2003 Confirmation No: 4589

EXAMINER INITIALS	APPLICANT'S NAME	PU	BLIC	CATI	ON	NUN	PUBLICATION DATE	COPY NOTE		
	Sherman	10	0	0	5	ī	4	5	01/17/2002	5
	Soane, et al.	0	0	1	3	3	6	9	01/16/2003	5
	Guarracino, et al.	0	0	2	3	3	3	8	09/20/2001	5
	Hall-Puzio, et al.	0	0	3	1	2	4	8	10/18/2001	5
	Proverb, et al.	0	0	7	0	7	8	2	04/17/2003	5
	Cha, et al.	0	0	8	2	2	3	7	05/01/2003	5
	Hausmann, et al.	0	1	0	6	14	6	6	08/08/2002	5
	Dugan	0	l i	1	0	6	8	6	08/15/2002	5
	Rohrbaugh, et al.	0	1	7	6	9	8	2	11/28/2002	5

FOREIGN PAT	TENT DOCUM	IEN	TS											
EXAMINER INITIALS	COUNTRY	COUNTRY DOC					МВ	ER		PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	
	WO	0	0	7	6	5	5	8	Αl	12/21/2000			X	
	WO	0	2	0	6	2	8	8	l A2	08/15/2002			X	
	WO	0	2	0	6	4	8	7	7 A2 & A3	08/22/2002			Х	
	WO	0	2	0	8	3	2	9	7 A1	10/24/2002			X	
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	WO	0	2	0	9	5	1	1	2 A1	11/28/2002			X	
	WO	0	2	2	6	2	7	2	Al	04/04/2002			X	
	EP	0	2	3	2	1	4	ī	Al	08/12/1987			X	
	WO	0	2	4	9	5	5	9	A2	06/27/2002		X		
	EP	0	2	5	1	7	8	3	Bl	01/07/1988			X	<u> </u>
	WO	0	3	0	0	0	9	7	9 A2	01/03/2003			X	
	WO	0	3	0	2	5	0	6	7 A1	03/27/2002			X	
	WO	0	3	0	9	2	8	8	5 A1	11/13/2003			X	
	EP	0	3	4	8	9	7	8	A2	01/03/1990			X	
	EP	10	5	1	0	6	T	9	Al	10/28/1992			X	
	EP	۱Ť	10	5	3	7	8	8	BI	10/08/2003			X	
	EP	Ti	1	5	7	6	7	2	Al	11/28/2001			X	
	EP	1	2	9	8	0	7	ī	Al	04/02/2003			X	

Serial Number: Attorney Docket Number: (Rev. 5/92) 10/686,933 KCX-665 (19232) Information Disclosure Statement List Applicant: By Applicant(s) Under 37 CFR Section 1.98(a) (1) MacDonald, et al. Group Art Unit: Filing Date: (Use several sheets if necessary) 1744 October 16, 2003 Confirmation No: 4589

 WO	8	9	0	2	6	9	8	Al	04/06/1989	X
WO	9	ī	1	2	0	2	9	Al	08/22/1991	X
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WO	9	1	1	1	9	7	7	Al	08/22/1991	X
WO	9	6	ì	9	3	4	6	A2 & A3	06/27/1996	X
WO	9	8	2	6	8	0	8	A2 & A3	06/25/1998	X

^{*&}quot;NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER	OTHER DO	CUMENTS	COPY
INITIALS	Specify author (if any), Title, Pertinent	Pages, Date & Place of Publication	NOTE
	Abstract of Japanese Patent No.	04/27/1993	1
	5106199		
	Abstract of Japanese Patent No.	06/03/1997	i
1	9143872		
	Article - Applicability of a SPME		1
	method for the Rapid Determination		}
	of VOCs, Alexandre Béné, Jean-Luc		į
	Luisier, and Antoine Fornage,		
1	Chimia, Vol. 56, No. 6, 2002, pp.		
	289-291		
	Article - Characterisation of novel		1
	modified active carbons and marine		
	algal biomass for the selective		j
	adsorption of lead, D.J. Malik, V.		
	Strelko, Jr., M. Streat, and A.M.		Į.
	Puziy, Water Research, Vol. 36,		İ
	2002, pp. 1527-1538		ļ
	Article - Significance of Ammonia in		
	the Genesis of Gastric Epithelial		
	Lesions Induced by Helicobacter		
	pylori: An in vitro Study with		1
	Different Bacterial Strains and Urea	1	1
	Concentrations, P. Sommi, V. Ricci,		1
1	R. Fiocca, M. Romano, K.J. Ivey, E.		
	Cova, E. Solcia, and U. Ventura,		
	Digestion, Vol. 57, 1996, pp. 299-		
	304		
	Article - Ammonia vapour in the		
	mouth as a diagnostic marker for		
	Helicobacter pylori infection:	1	
1	preliminary "proof of principle"		
	pharmacological investigations, C.		
	D. R. Dunn, M. Black, D. C. Cowell,		
	C. Penault, N. M. Ratcliffe, R.		
	Spence, and C. Teare, British Journal		1
	of Biomedical Science, Vol. 58,		
	2001, pp. 66-76		

Attorney Docket Number: Serial Number: Information Disclosure Statement List KCX-665 (19232) 10/686,933 By Applicant(s) Applicant: Under 37 CFR Section 1.98(a) (1) MacDonald, et al. (Use several sheets if necessary) Filing Date: Group Art Unit: October 16, 2003 1744 Confirmation No: 4589

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XAMINE	R	DATE CONSIDERED	.l
	44-67		
	in Enzymology, Vol. 142, 1987, pp.		
	Article - Spectrophotometric Assay of Thiols, Peter C. Jocelyn, Methods		
	Vol. 6, No. 2, 1994, pp. 167-174		
	Gastroenterology & Hepatology,		
	Ueda, European Journal of		
	Kato, Yoshimichi Abe, and Takashi		1
	Shigeji Ito, Yoshihiro Kohli, Takuji		1
	produced by Helicobacter pylori,		
	No. 8, 2002, pp. 408-411 Article – Significance of ammonia		
į	Singapore Medical Journal, Vol. 43,		
	K. M. Fock, E. K. Teo, T. M. Ng,		
	Singapore Population, T. S. Chua,		
	Helicobacter Pylori Infection in the		
	Breath Test for the Diagnosis of		
	Article - Validation of 13C-Urea		
	9464-1990		
	The Journal of Biological Chemistry, Vol. 265, No. 16, June 5, 1990, pp.		
	Perez-Perez, and Martin J. Blaser,		
	Gail P. Campbell, Guillermo I.		1
	Helicobacter pylori, Bruce E. Dunn,		-
	Article – Purification and Characterization of Urease from		

draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.